

A formal linguistic approach to affective emojis in CMC

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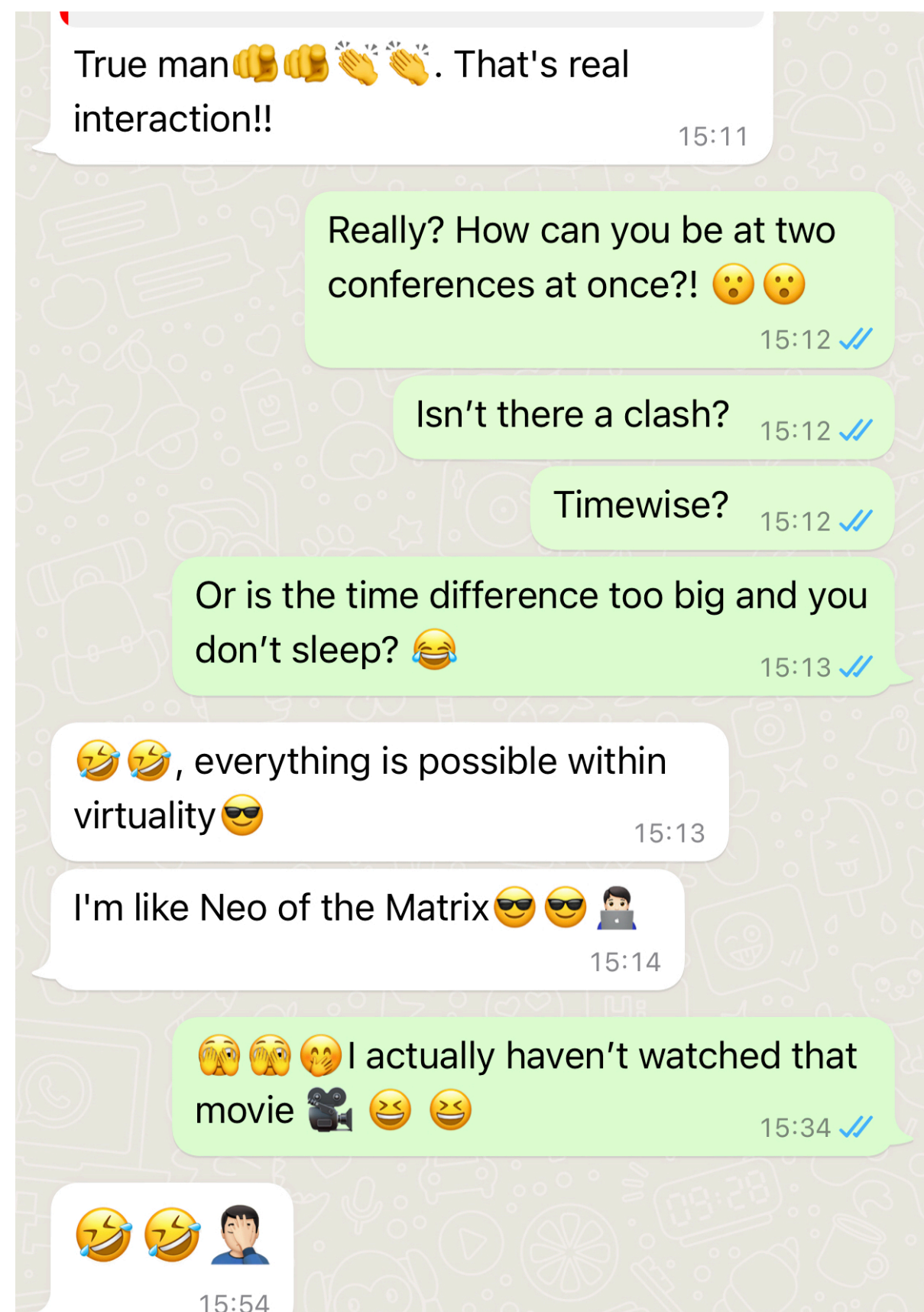


Emojis in CMC (=computer-mediated communication)

An increasingly important part of life

A recent WhatsApp chat of mine 🙌

(interlocutor consent obtained)



“92% of the world’s online population use emoji”

— **Jennifer Daniel**, Unicode Emoji Subcommittee Chair

Two main uses of emojis

Affective vs. nonaffective

👉 aka “non-at-issue” 👉 aka “at-issue”

(Potts’s 2005 et seq. terminology)

Example:

(1) a. Great idea 👍 I’m in 😊 **affective**

b. If I were in Detroit, I’d give you a 📺. **nonaffective** (adapted from Maier 2021:4)

I focus on the affective use in this talk.

Affective emojis

Characteristics

1. Conveying tones or emotions
2. Mainly face emojis, but also many nonface ones
3. Typically appended to sentences at the end

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Top 10 emojis used worldwide: 😂 ❤️ 😄 👍 😭 🙏 😘 😍 😍 😊

— Unicode Consortium (2021)

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Example: 🙄 is often used to display an air of nonchalance or indifference (Emojipedia)
(2) As i said before, u can't compare urself with us. We're on another level, we're on the next level. Sorry to say, but it's a fact 🙄 (Twitter)

Questions

Affective emojis' place in CMC grammar

1. Do they have a generative syntax?
2. Do they have a model-theoretic semantics?
3. What does research on CMC grammar entail? (big picture)

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Main proposal: Affective emojis are a (semi)lexical category in CMC.

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Main proposal: Affective emojis are a (semi)lexical category in CMC.

Syntax

Generalized
Root Syntax
(Song 2019)



Semantics

Monadic Composition
(Song 2021b)

Road map

1. Affective emojis as a (semi)lexical category
2. Syntax (& big picture issues)
3. Semantics

1. Affective emojis are a (semi)lexical category

Lexical status: an open class

1. New face emojis are created every year



What next?

Lexical status: an open class

2. Many platform-specific ones

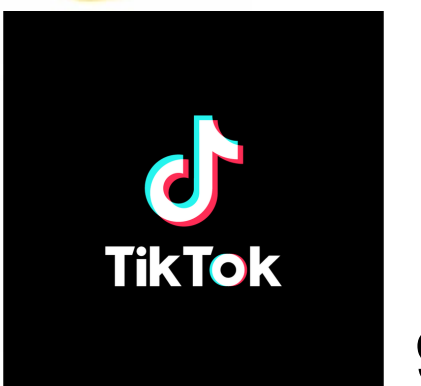
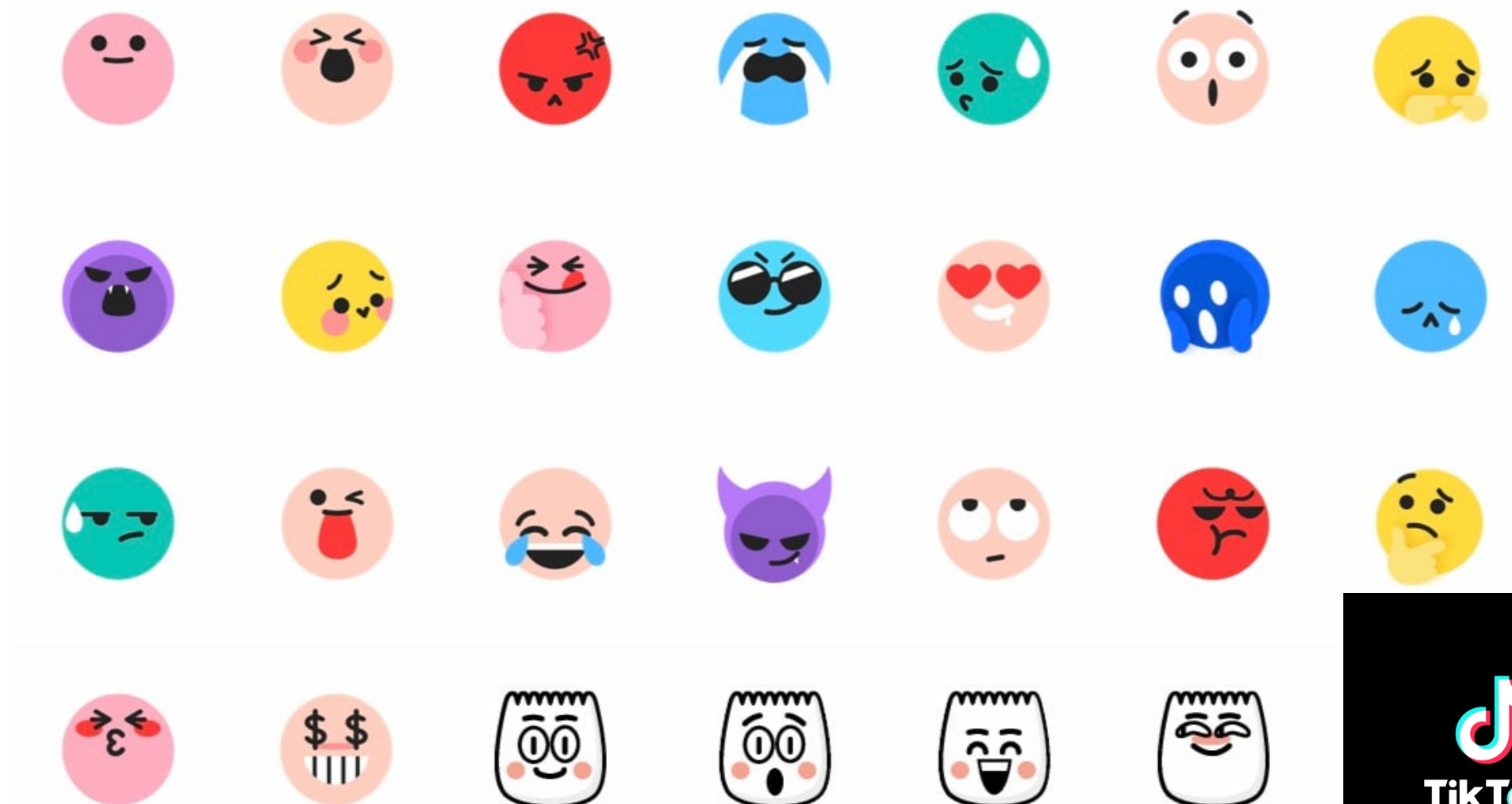
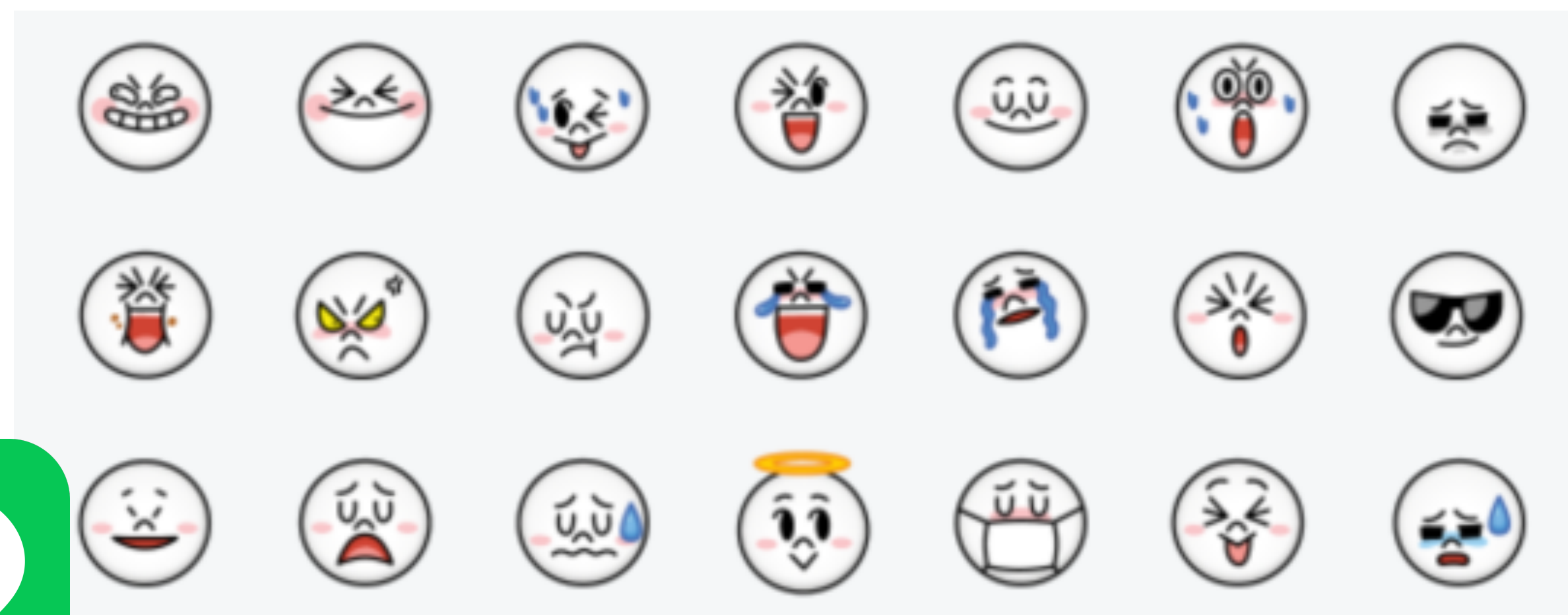
Weibo



WeChat



(see emojiall.com for more)



Lexical status: an open class

3. Many nonface emojis can be used affectively too



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4. Various quasi emojis

emoticons/kaomojis

Highly popular and versatile in Asia

(n_n)	(. _ .)	(- -)	(; _ ;)	(@ _ @)
smile	uh...oh	sleepy, tired	tears	dizzy
(o_o)	(* ^*)	(> _ <)	(^ _ ^)	*(^ o ^)*
amazed	astonished	ouch	happy	happier
(^ o ^)	(x.x)	(=_=)	(* -*)	(! _ !)
glad	dead	bored	I love it	sad
(o_o)	(* O*)	(o_o)	(; o ;)	(. o .')
incredulous	incredible	seriously?	crying bad	confused
(- _ -;)	(' _')	(u _ u)	(xOx)	(> o <)
I messed it up	serious	sleepy, sad	noooo	yuck!
(- _ o)	8(> _ <)8	(9_9)	(>>)	(~ o ~)
wink	jealous	didn't sleep	awry	you're crazy

Grammatical status: a clearly fixed function

Add tones or speaker attitudes to linguistic content

Similar to the function of certain particles in non-CMC linguistics

- Chinese sentence-final particles (SFPs)
- German sentence-middle (aka modal) particles

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(3) a. *xià xuě le ye*

fall snow PRF SFP

“It’s snowing. (happy tone)”

b. *xià xuě le a*

fall snow PRF SFP

“It’s snowing. (surprised tone)”

c. *xià xuě le you*

fall snow PRF SFP

“It’s snowing. (kind reminder tone)”

[Mandarin Chinese]

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German modal particles

- used mainly in the spontaneous spoken language in colloquial registers in German
- reflect the mood or the attitude of the speaker
- highlight the sentence's focus

Example

halt, nun, einmal

ja

mal

doch

Connotation

some unpleasant fact must be accepted

reminder to the listener

a casual, less blunt tone

emphasis, urgency, impatience, etc. (highly versatile)

(Wikipedia)

Grammatical status: a clearly fixed function




Add tones or speaker attitudes to linguistic content

Similar to

- Chinese
- German

German

- used
- reflexive
- high

 soupsticle · 7 mo. ago · edited 7 mo. ago  

Native

IMO the most important thing to understand about modal particles is that they change mood, not meaning.

They are effectively "verbal emojis".

for example "halt".








halt = 🤷 (shrug) https://www.reddit.com/r/German/comments/qmit3d/comment/hj9t3f1/?utm_source=share&utm_medium=web2x&context=3

Das ist halt so.

= That's how it is. 🤷 / That's just how it is.

Dann hat er halt eine große Nase. = So he has a big nose, then. so what? 🤷

If you look just at the word translations ("just" vs "so what") it doesn't make much sense. But as soon as you understand that the word is used to convey an emotion/feeling, it becomes much clearer and easier to grasp.

 139   Reply  Share  Report  Save  Follow

tics

man

satile)

(Wikipedia)

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Lexical status + grammatical status

= a semilexical (aka semifunctional) category

Some typical semilexical categories (see Song 2021a for an overview):

	Grammatical function	Lexical idiosyncrasy	Example
Classifiers	Atomizing mass concepts and making them countable	Various conventionalized perspectives	(Mandarin) <i>zhī</i> for long, thin objects, <i>bǎ</i> for objects with handle-like parts, etc.
Light verbs	Various event structure functions	Lexical selection, register variation, etc.	(English) <i>take</i> a shower, <i>do</i> the laundry, <i>make</i> a phone call, etc.
Adpositions	Additional predication	Various concrete (e.g., spatial) relations	(English) <i>in</i> , <i>on</i> , <i>at</i> , <i>below</i> , etc.





Affective emojis are semilexical

	Grammatical function	Lexical idiosyncrasy	Example
	Add tones/attitudes to linguistic content	happy, loving	I'm in 😊
		praising, supportive	Great idea 👍
		nonchalant, arrogant	Sorry to say, but it's a fact 🙄
		gossipy (specific to Chinese)	'Just found out that Wahaha had changed their endorser from Leehom Wang to Greg Han. 🍉'

Affective emojis are semilexical

Don't put on a happy face! Are you using the smiley emoji all wrong?

The classic grinning emoji has once more changed its meaning - at least amongst gen Zers. So what is it communicating now - and what should you be using instead?

	Gran
	
	Add
	lin
	



The Guardian

Great idea 👍

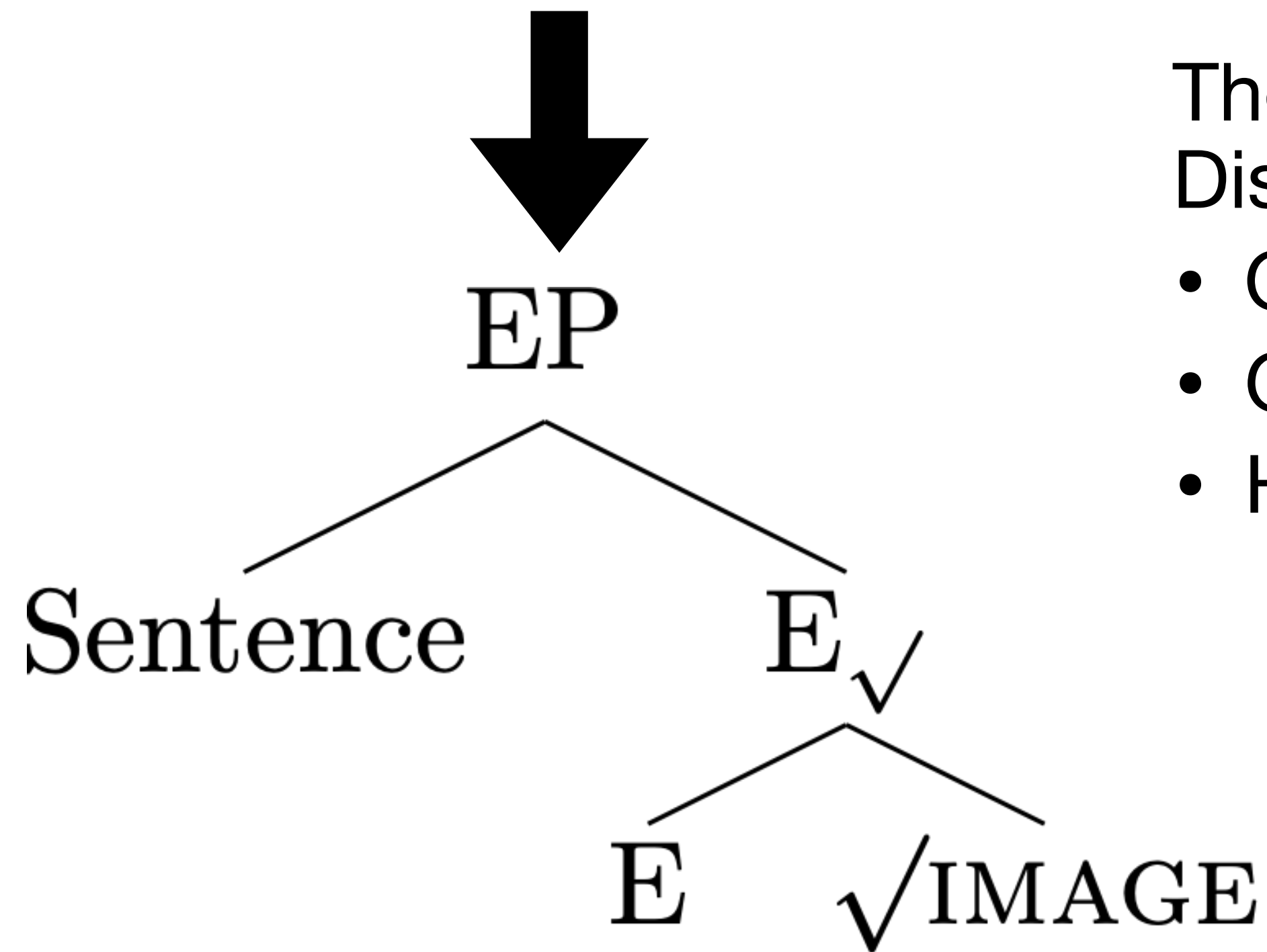
Sorry to say, but it's a fact 🙄

...out that Wahaha had changed their
...om Leehom Wang to Greg Han. 🙄

2. Syntax

The emotional shell category E

[_{EP} Sentence [_E E √IMAGE]] (an updated version of Song 2019)

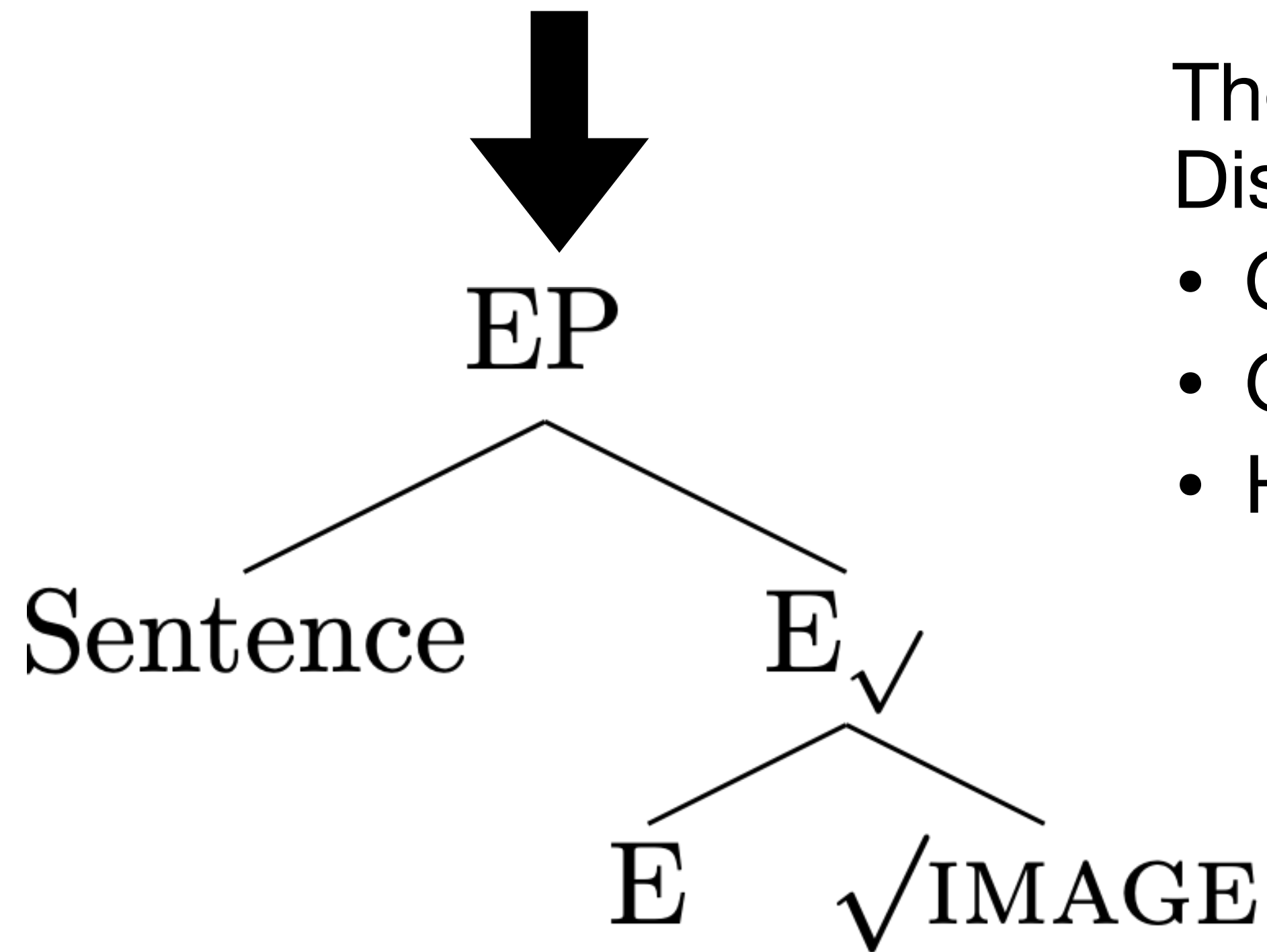


The root categorization technique is borrowed from Distributed Morphology (Halle & Marantz 1993 et seq.):

- Originally used for content word formation
- Generalized to semilexical words in Song 2019
- Here modeling the lexical side of affective emojis

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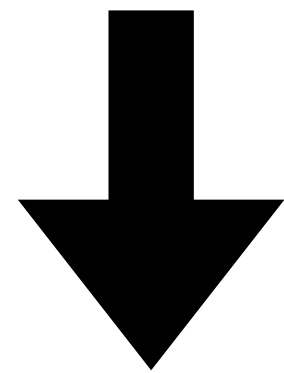
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The grammatical category E functions like an emotional wrapper for the linguistic sentence.

Each affective emoji is a tiny “idiom” in the CMC lexicon.

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- Originally used for content word formation

Sent

is

All

Marantz 1995

10/15
(de J.H. 4.6.96)

• 'Cat' as a phrasal idiom: Consequences of late insertion in Distributed Morphology"

→ INT idea: to re-separate in order to understand

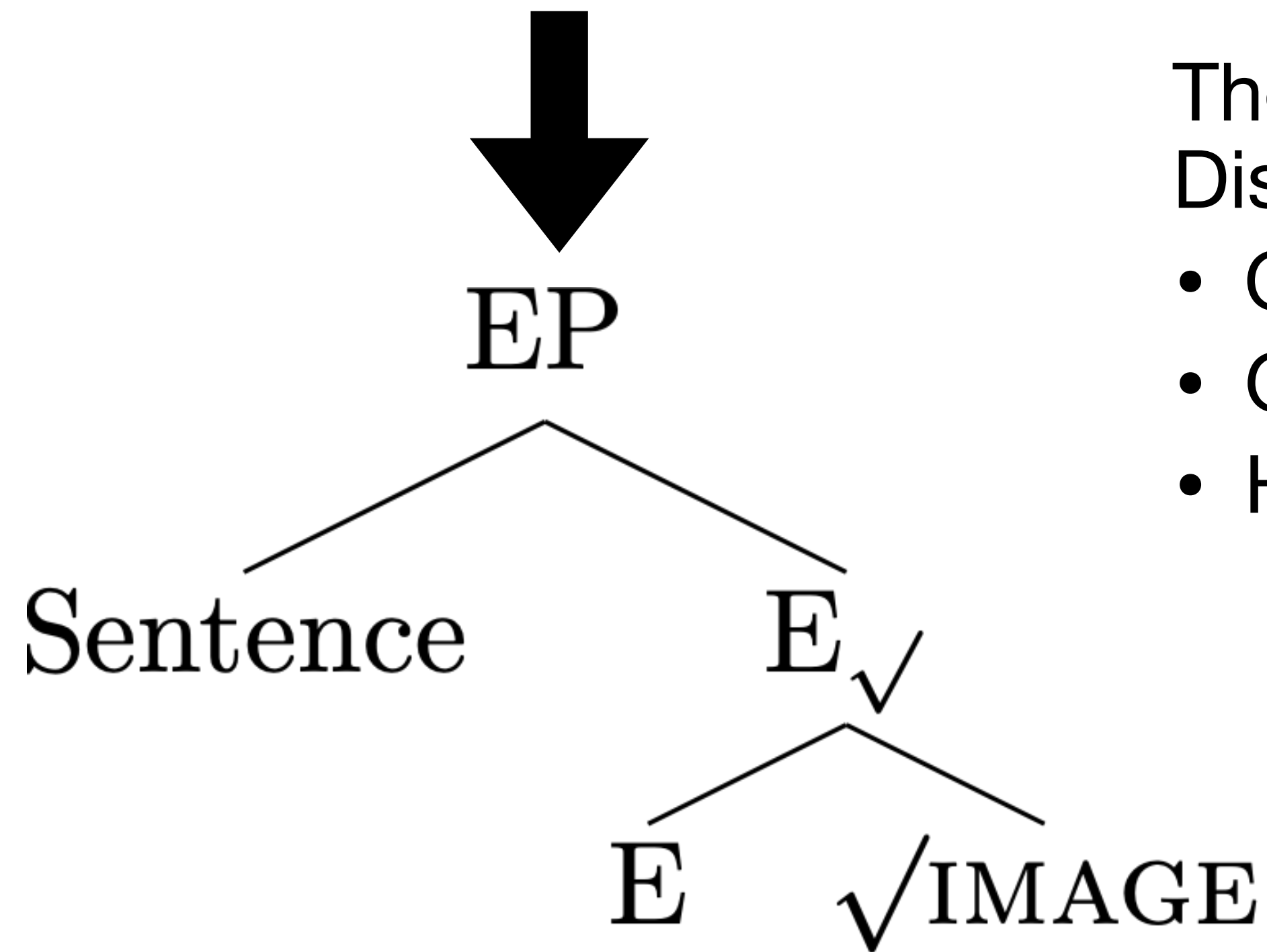
Theories of the Lexicon tend to equate sorts of linguistic units that are at least conceptually distinct. Of course, claiming that conceptually distinct entities are in fact the same can be an empirical claim about the way language works. (But to understand what's at stake in unifying

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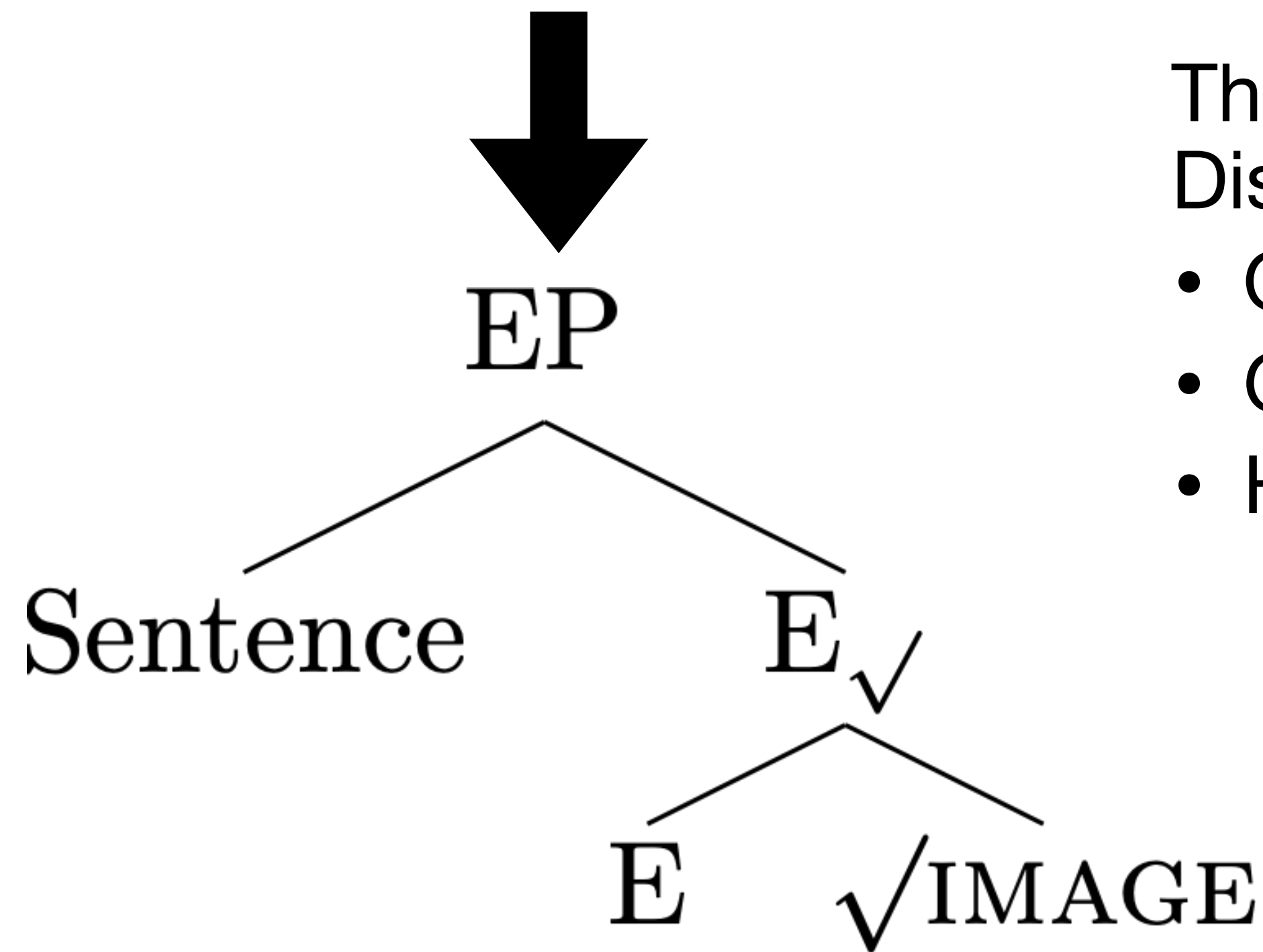
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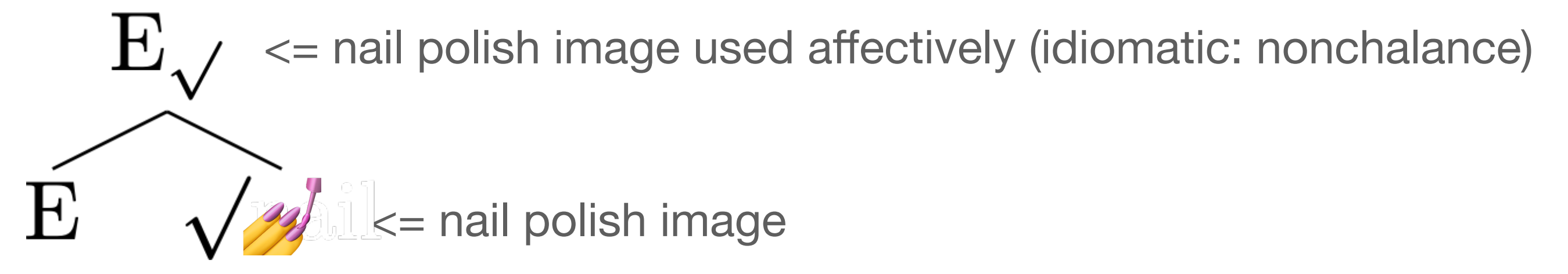
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Affective emojis vs. affective particles


Why can't we model them with a single functional category?

Two main reasons:

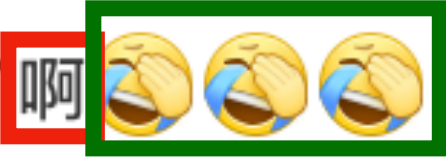
1. They can and often do co-occur.
2. The positioning of affective emojis is not affected by crosslinguistic word order variation, while that of affective particles is.


 博主:我每天都在直播哦亲😊
22-6-6 13:24 来自北京 o 'cute reminder'

I'm live-streaming every day, dear (teasing tone)

 回复@...:你的网名很符合你哦😊
22-6-6 09:49 来自广西 o 'cute reminder'

Your profile name fits you very well (jocularly teasing tone)

 回复@...:我怎么记得好像是刘把她踹了啊🤔🤔🤔
22-6-5 19: How come I remember that it was Liu who had dumped her (jokingly unexpected tone) a 'unexpected'

 铁粉:女明星生日快乐喔🥰
22-6-2 23:34 来自广东 o 'cute reminder'

Superstar girl, happy birthday (cute fangirl tone)

(examples from Weibo, the Chinese equivalent of Twitter)

Positioning of affective emojis

A survey of nine languages on social media websites (Twitter, Weibo)

Language	Family	Type	Basic word order	Place of affective emoji
Mandarin	Sinitic	isolating	SVO	sentence-final
Japanese	Japonic	agglutinative	SOV	sentence-final
Korean	Koreanic	agglutinative	SOV	sentence-final
English	Germanic	analytic	SVO	sentence-final
German	Germanic	fusional	SOV (V2 in matrix)	sentence-final
French	Romance	fusional	SVO	sentence-final
Irish	Celtic	fusional	VSO	sentence-final
Basque	Language isolate	agglutinative/ fusional	SOV	sentence-final
Hungarian	Finno-Ugric	agglutinative	relatively free	sentence-final

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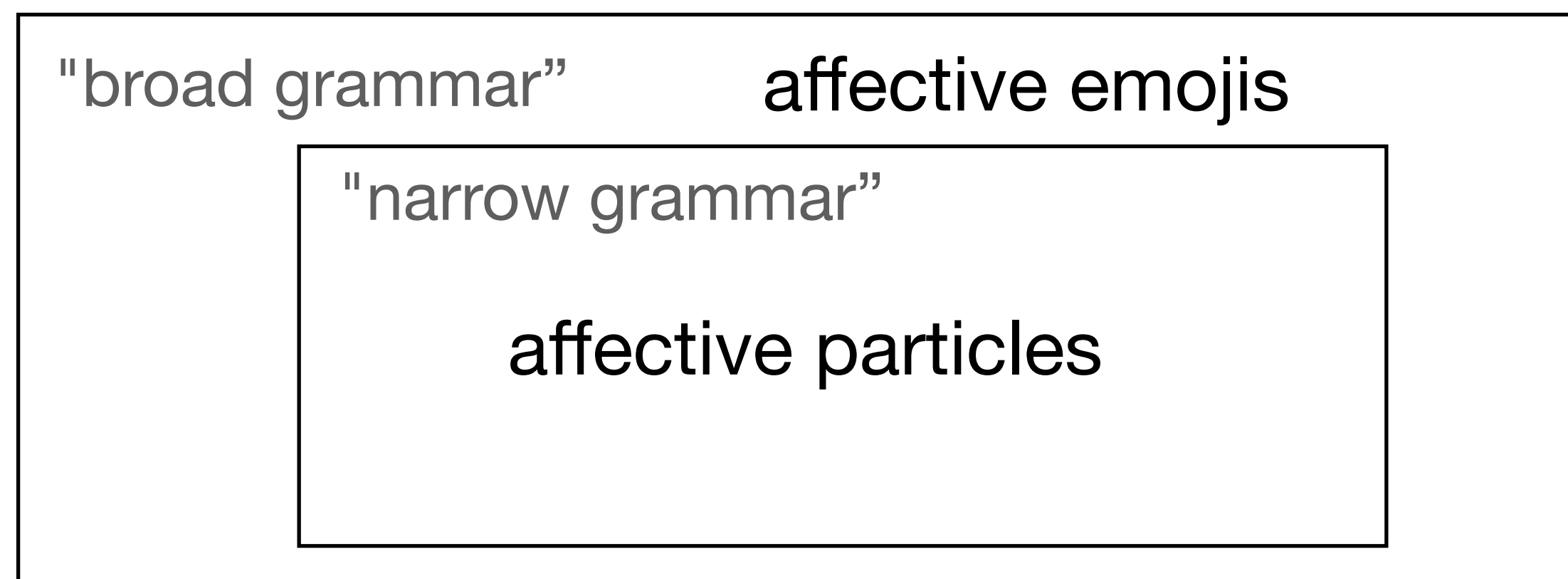
Example: (from Twitter)

- (5) a. *Les pères, ils ont droit au whisky et autres alcool de “bonhomme”* 🙋🏻‍♀️ [French]
“The fathers, they have the right to whisky and other alcohols of ‘fellow.’”
- b. *Ich dachte immer, dass hier alles anonym ist* 🙋🏻🤗 [German]
“I always thought that everything was anonymous here.”
- c. *gozenchū no ame wa dokoni ittandesu ka* 🤔 [Japanese]
“Where did the rain in the morning go?”
- d. *Membeo-deul-i ‘hat-gyu’-rago bureum* 🙄 [Korean]
“The members calling him ‘hot-gyu’”
- e. *RT agus fág trácht le bheith san áireamh!!* 😍 [Irish]
“RT and leave a comment to be included!!”
- f. *Bilera eta ekitaldi nagusiak bueltan dira Euskaldunan* 😊 [Basque]
“Meetings and big events are back in Basque.”
- g. *Legyetek a barátaim, ugyanígy doraszell a nevem* 😊 [Hungarian]
“Be my friends (on BeReal). My name is just doraszell.”

Interim summary

Affective emojis and affective particles are not introduced by the same functional category

Intuitively, affective particles are still **within** the linguistic content, whereas affective emojis are **outside** of it, functioning like a **higher-order shell** specific to CMC grammar.



Interim summary

Not just emojis, but various other visual elements can be used affectively too!



is for me?



These are part of the “broad grammar” of CMC but not part of the “narrow grammar” of traditional linguistics.

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Interim summary

On some platforms, even sound elements may be used affectively

A recent Instagram story of mine 📌



Here, the upbeat/enthusiastic tone accompanying the post is conveyed through the background music — similar in effect to 📌

Yummy cherry tomatoes for HOT summer days! 😎👸🏝️

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=> The emotional wrapper in the “broad grammar” of CMC can recycle miscellaneous multimedia elements affectively.

From “narrow” to “broad” grammar

What formal linguistic tools are safe to use?

- We want to apply formal linguistic tools to not-entirely-linguistic data.
- This is fine as long as the tools are sufficiently **domain-general**.
- Basically, anything motivated by “interface conditions” in current generative syntax (e.g., Move, Agree, Phases) risks being domain-specific.

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Some “safe” tools

- ✓ Merge **basic combinatorial operation**
- ✓ Categorization **recycling existing material for new purpose**
- ✓ Model-theoretic semantics **not limited to natural languages**

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Bottom line: CMC data are amenable to symbolic analysis.

3. Semantics

Formal semantics for (Generalized) Root Syntax

via monadic composition (Song 2021b, 2022a)

Recall:

- Root Syntax keeps purely functional and idiosyncratic information apart.
- The root categorization schema holds the two types of info together.

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Desideratum:

- Semantic composition should mirror the above syntactic mechanism.

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The writer monad (via Asudeh & Giorgolo 2020, originally from CS/Math):

- Maps each pure-function denotation $\llbracket F \rrbracket$ to an “enriched” type $\langle \llbracket F \rrbracket, \{ \dots \} \rangle$.
- The enriching mechanism relies on established properties of the universe of sets.
- The monad systematically keeps pure-function composition and idiosyncratic enrichment apart via the ordered pair structure.

Some background

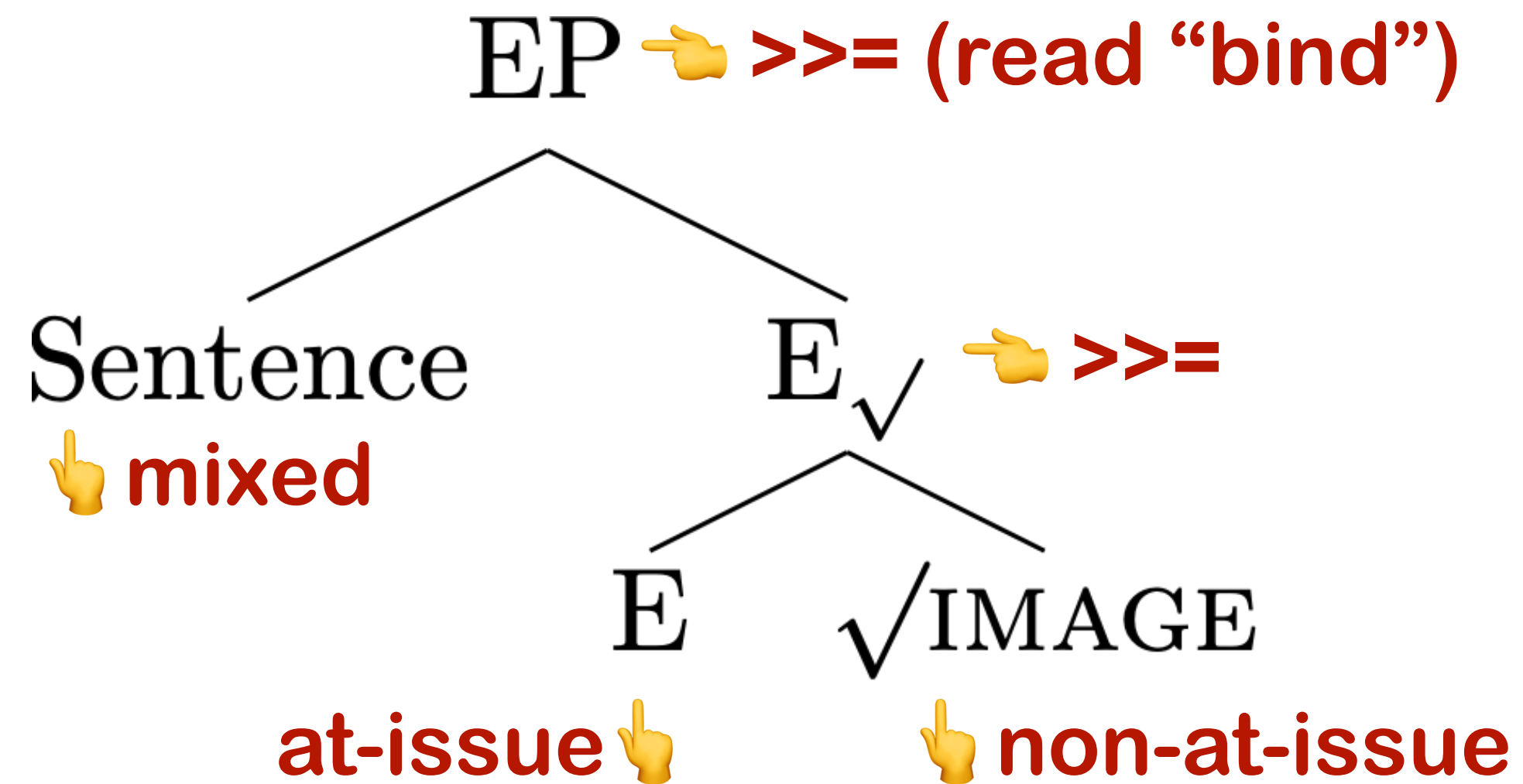
Modes of composition

1. Function application => most often used
input: f, x ; output: $f(x)$
2. Conjunction => used for “predicate modification” and event semantics
input: f, g ; output: $f\&g$
3. Monadic “bind” => used for “nonpure” computations with “side effects”
input: f^*, x^* ; output: $f(x)^{**}$ [I use the superscript $*$ to indicate side effect]
pure computation: $f(x)$; nonpure/side effects: $**$

1 and 2 are already available in Heim & Kratzer (1998)

3 originates in mathematical category theory and functional programming but has been introduced to linguists too (Shan 2002; Asudeh & Giorgolo 2020; Song 2021b, 2022a)

Root Syntax 🤝 Monadic Semantics



Template:

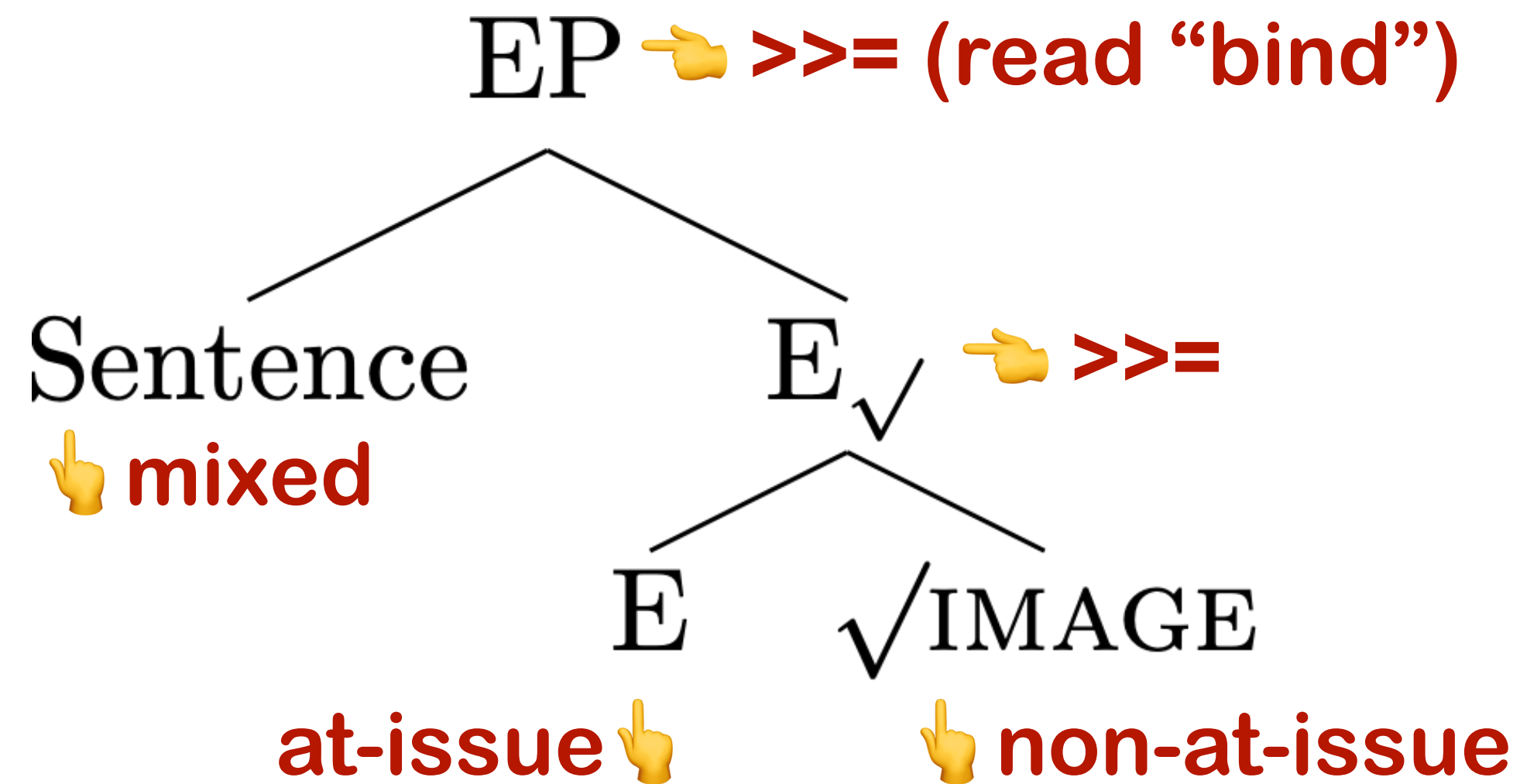
[>>= X YP]

= write([YP]) >>= $\lambda y.\eta([X])$

= $\langle [X]([YP]), \text{NAI}_X \cup \text{NAI}_{YP} \rangle$

(NAI = non-at-issue content)

Root Syntax 🤝 Monadic Semantics



1. $\llbracket E \rrbracket = \lambda x \lambda u . \{w \mid \text{AFFECT}(x, u) \text{ at } w\}$

(adapted from Grosz et al. 2021)

(see Song 2022a for more detail)

🖐️ *x affectively performs the speech act of u at w*

Template:

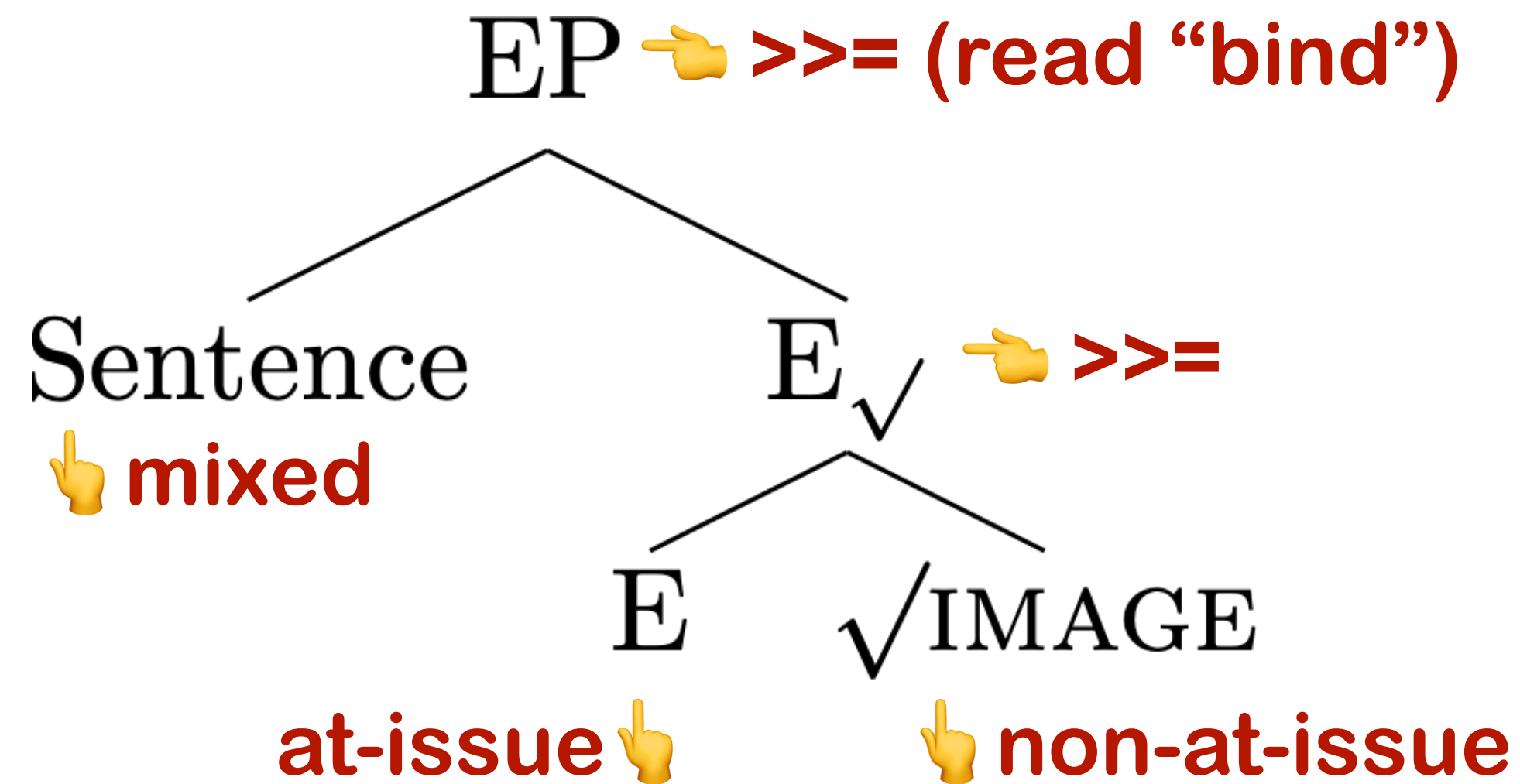
$\llbracket >>= X YP \rrbracket$

$= \text{write}(\llbracket YP \rrbracket) >>= \lambda y. \eta(\llbracket X \rrbracket)$

$= \langle \llbracket X \rrbracket(\llbracket YP \rrbracket), \text{NAI}_X \cup \text{NAI}_{YP} \rangle$

(NAI = non-at-issue content)

Root Syntax 🤝 Monadic Semantics



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(adapted from Grosz et al. 2021) 🖐️

(see Song 2022a for more detail)

x affectively performs the speech act of u at w

2. $\llbracket E_{\checkmark} \rrbracket = \llbracket [E \ E \ \checkmark \text{IMAGE}] \rrbracket$

$= \text{write}(E_{\checkmark}) \gg = \lambda y . \eta(\llbracket E \rrbracket)$

$= \langle \llbracket E \rrbracket, \{E \text{ is enriched by } \checkmark \text{IMAGE}\} \rangle$

Template:

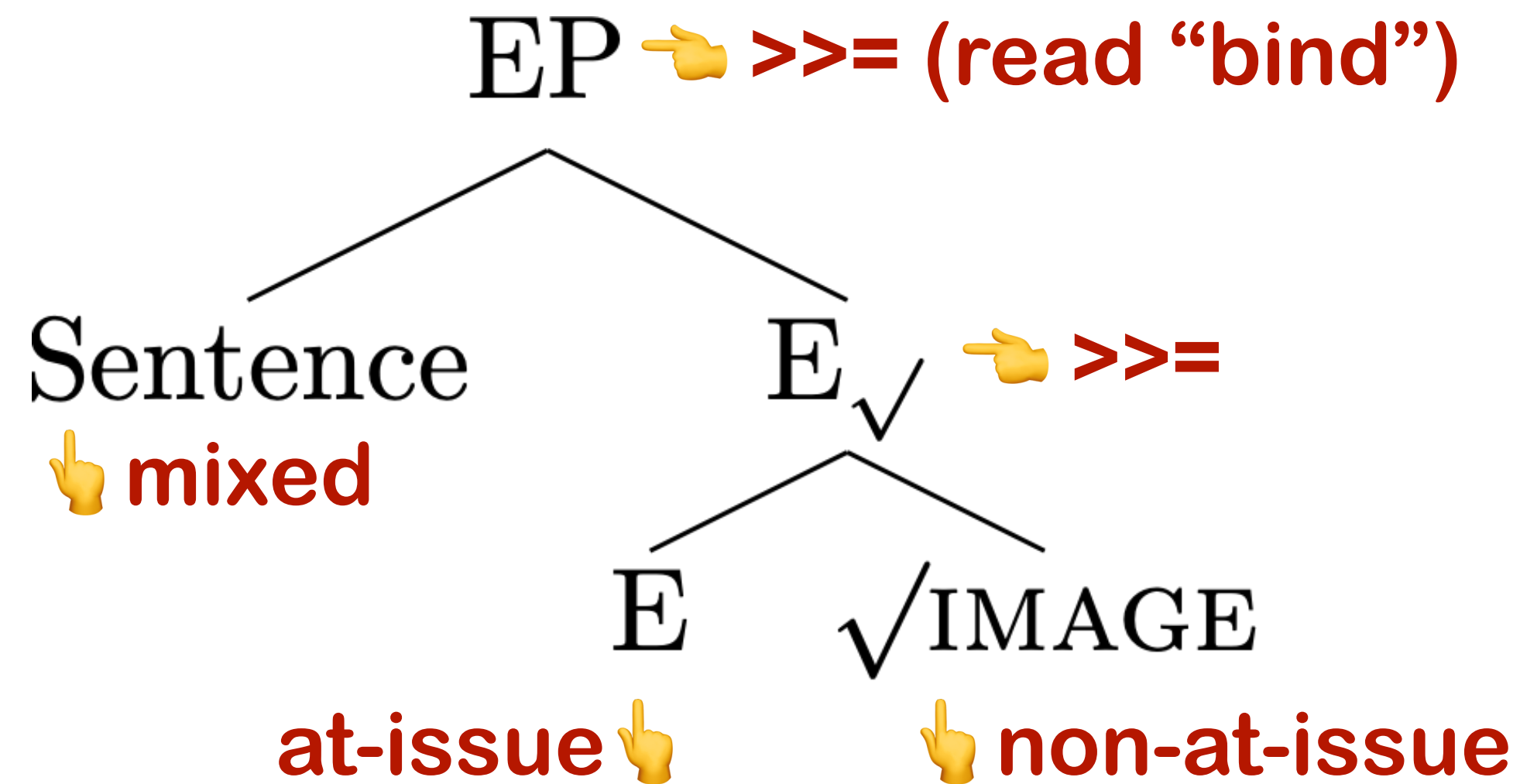
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$$= \langle \llbracket E \rrbracket, \{E \text{ is enriched by } \checkmark \text{IMAGE}\} \rangle$$

$$3. \llbracket EP \rrbracket = \text{write}(\llbracket E_{\checkmark} \rrbracket) \gg = \lambda y. \eta(\llbracket \text{Sentence} \rrbracket)$$

$$= \text{write}(\llbracket [E \ E \ \checkmark \text{IMAGE}] \rrbracket) \gg = \lambda y. \eta(\llbracket \text{Sentence} \rrbracket)$$

$$= \text{write}(\langle \llbracket E \rrbracket, \{E \text{ is enriched by } \checkmark \text{IMAGE}\} \rangle) \gg = \lambda y. \eta(\llbracket \text{Sentence} \rrbracket)$$

$$= \langle \llbracket E \rrbracket(\llbracket \text{Sentence} \rrbracket), \{ \dots E \text{ is enriched by } \checkmark \text{IMAGE} \dots \} \rangle$$

👉 pure-function composition

👉 accumulated idiosyncratic enrichment

Template:

$[\gg = X \ YP]$

$= \text{write}(\llbracket YP \rrbracket) \gg = \lambda y. \eta(\llbracket X \rrbracket)$

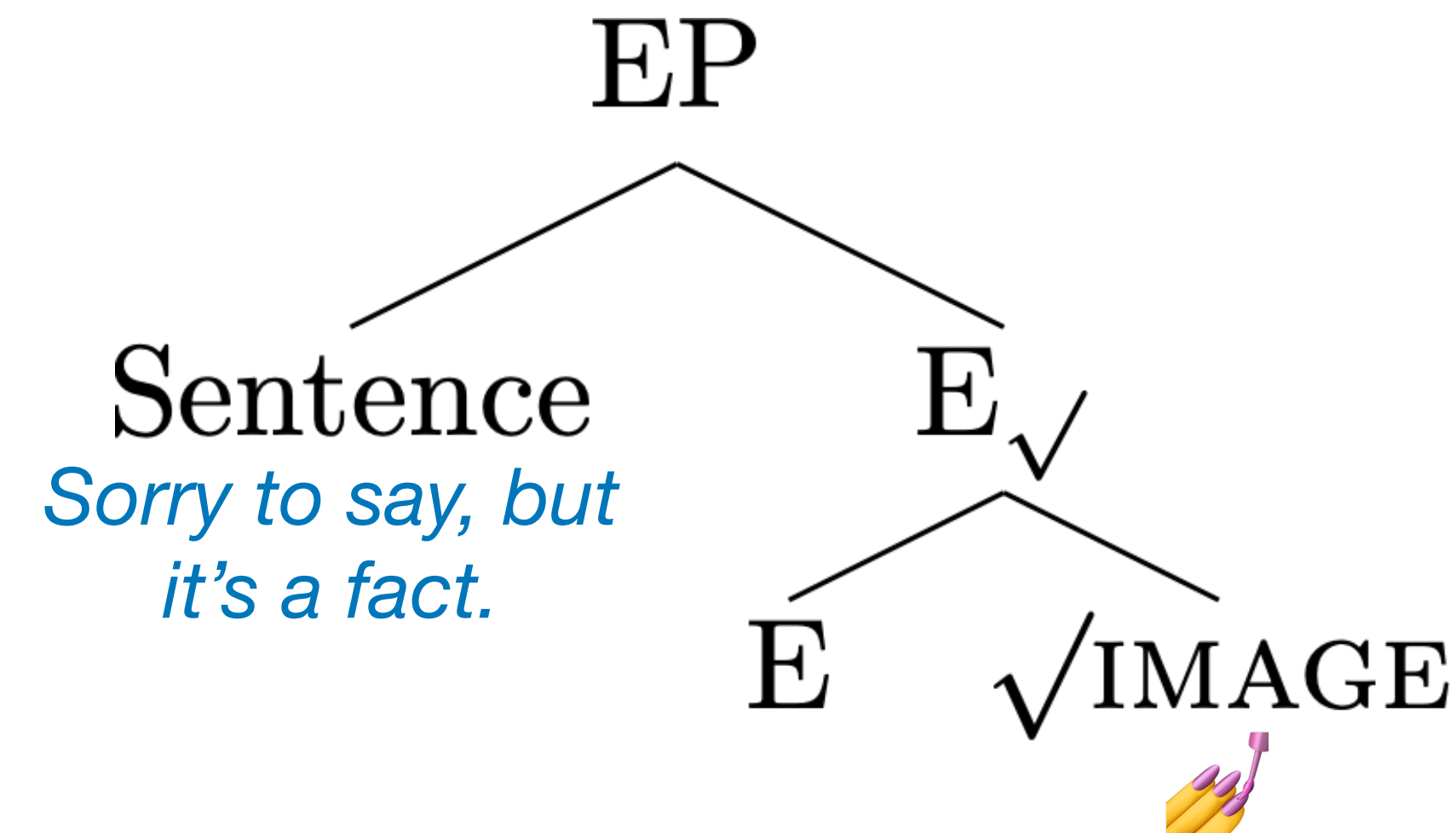
$= \langle \llbracket X \rrbracket(\llbracket YP \rrbracket), \text{NAI}_X \cup \text{NAI}_{YP} \rangle$

(NAI = non-at-issue content)

Illustration

Example 1: Sorry to say, but it's a fact. 🙌

The speaker (S) performs a declarative speech act in a tone conventionalized by the affective recycling of this image: 🙌.



$\llbracket EP \rrbracket = \text{write}(\llbracket E_{\checkmark} \rrbracket) \gg = \lambda y. \eta(\llbracket \text{Sorry to say, but it's a fact.} \rrbracket)$

= ...

= $\langle (\lambda u . \{w \mid \text{AFFECT}(S, u) \text{ at } w\})(\llbracket \text{Sorry....} \rrbracket), \{ \dots E \text{ is enriched by } \text{🙌} \dots \} \rangle$

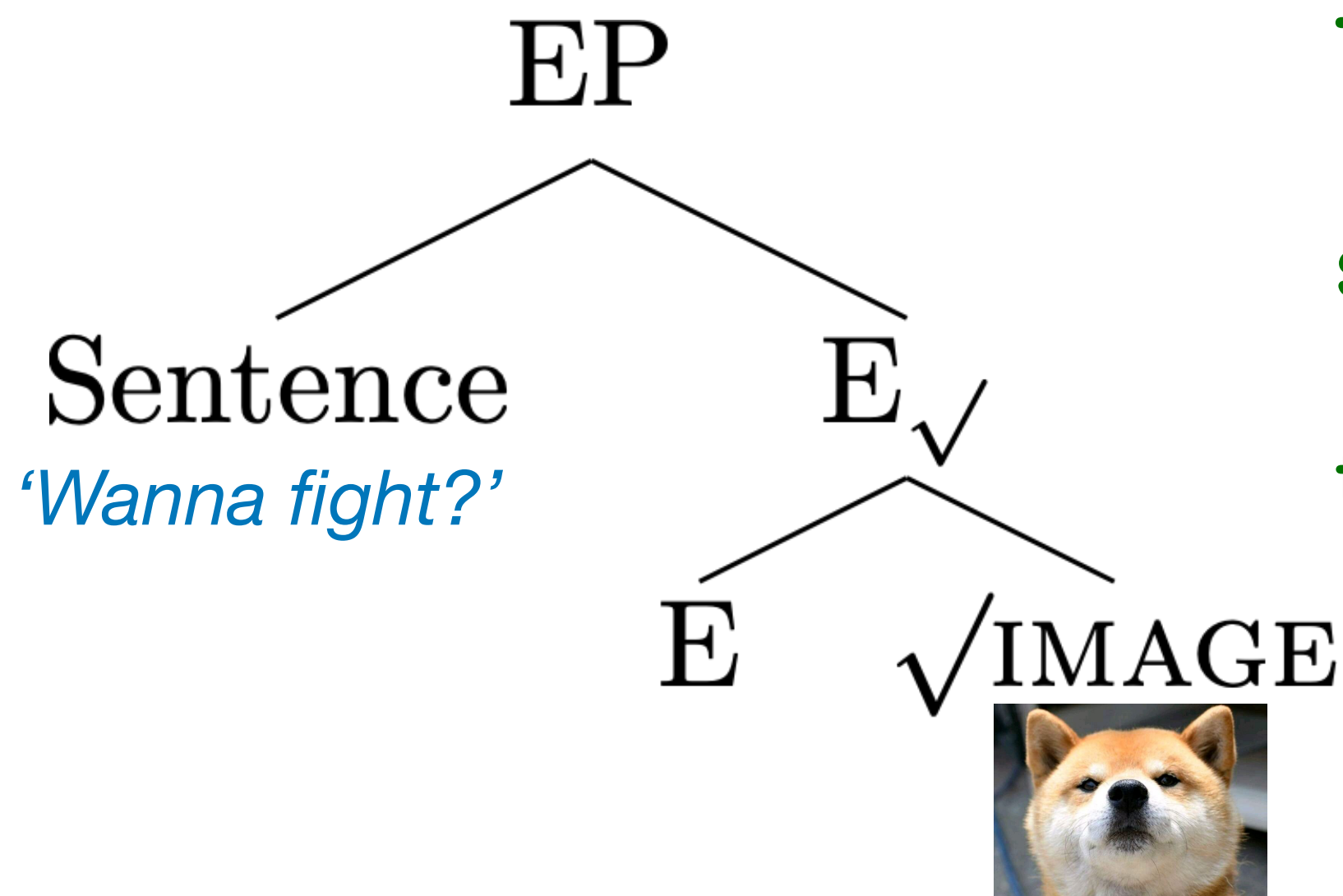
= $\langle \{w \mid \text{AFFECT}(S, \llbracket \text{Sorry....} \rrbracket) \text{ at } w\}, \{ \dots \text{nonchalant tone} \dots \} \rangle$

👉 **at-issue**

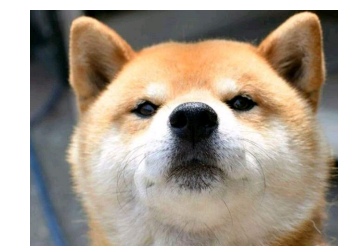
👉 **non-at-issue**

Illustration

Example 2



The speaker (S) performs an interrogative speech act in a tone conventionalized by the affective recycling of this image:



$\llbracket EP \rrbracket = \text{write}(\llbracket E_{\checkmark} \rrbracket) \gg = \lambda y. \eta(\llbracket \text{'Wanna fight?'} \rrbracket)$

= ...

= $\langle (\lambda u . \{w \mid \text{AFFECT}(S, u) \text{ at } w\}) (\llbracket \text{'Wanna fight?'} \rrbracket), \{ \dots E \text{ is enriched by } \img alt="Small image of a Shiba Inu dog" data-bbox="780 740 835 805" \dots \} \rangle$

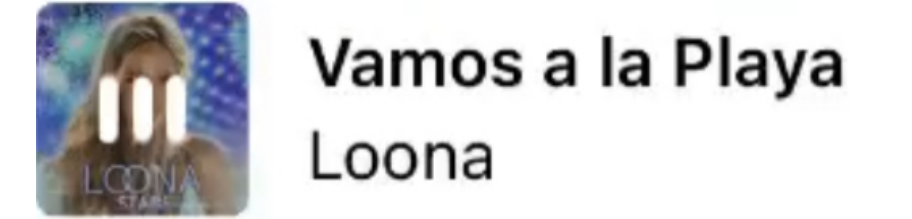
= $\langle \{w \mid \text{AFFECT}(S, \llbracket \text{'Wanna fight?'} \rrbracket) \text{ at } w\}, \{ \dots \text{jocularly menacing tone} \dots \} \rangle$

👉 at-issue

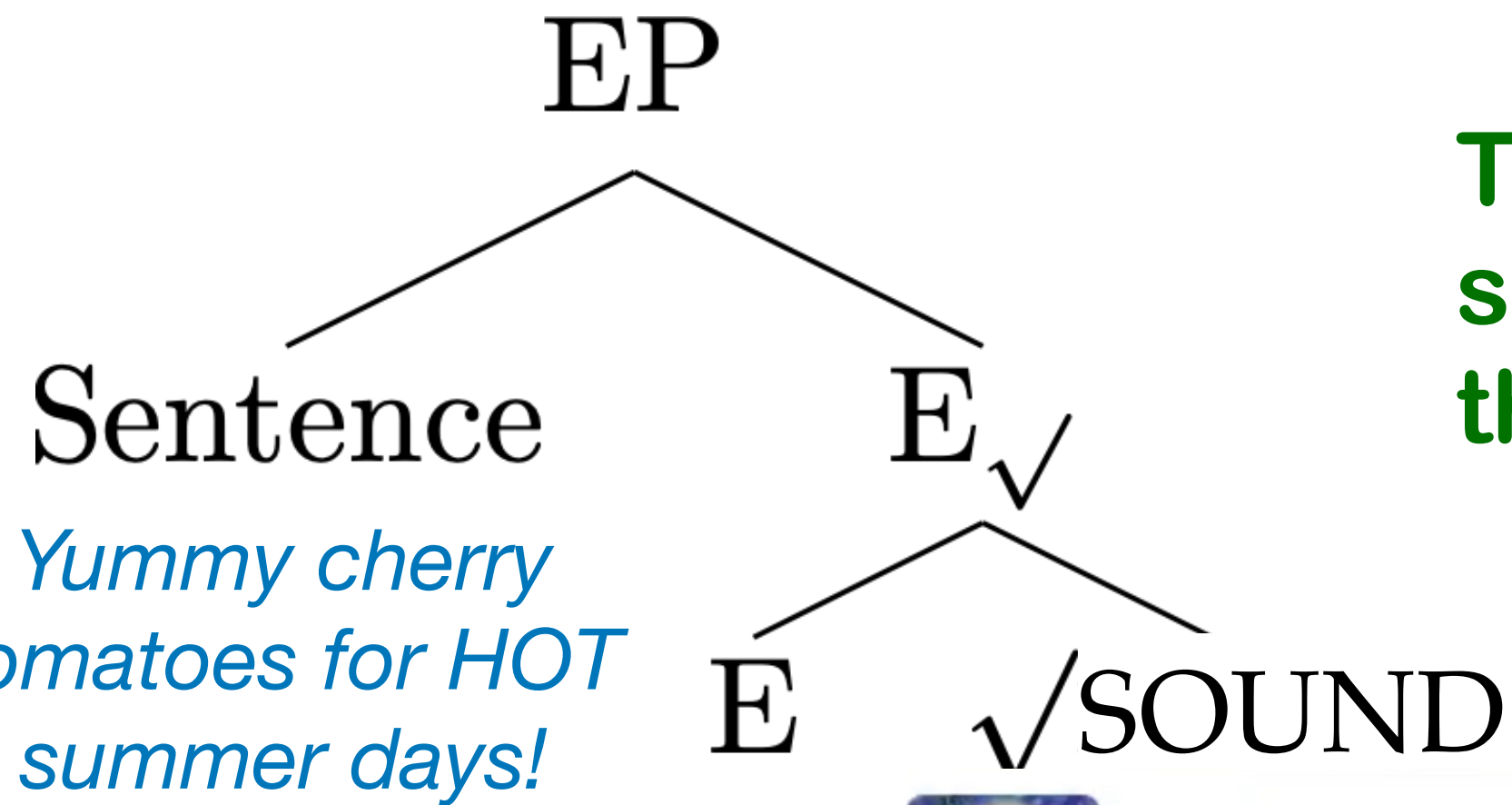
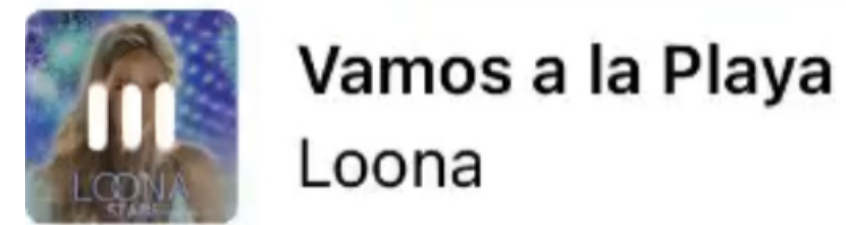
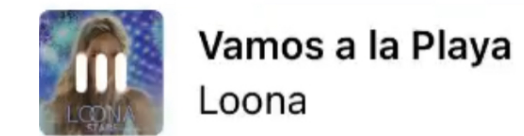
👉 non-at-issue

Illustration

Example 3: Yummy cherry tomatoes for HOT summer days!



The speaker (S) performs a declarative speech act in a tone conventionalized by the affective recycling of this sound file:



$$\llbracket EP \rrbracket = \text{write}(\llbracket E_{\checkmark} \rrbracket) \gg = \lambda y. \eta(\llbracket \text{Yummy...} \rrbracket)$$

= ...

$$= \langle (\lambda u . \{w \mid \text{AFFECT}(S, u) \text{ at } w\}) (\llbracket \text{Yummy...} \rrbracket), \{ \dots E \text{ is enriched by } \llbracket \text{Vamos a la Playa} \rrbracket \dots \} \rangle$$

$$= \langle \{w \mid \text{AFFECT}(S, \llbracket \text{Yummy...} \rrbracket) \text{ at } w\}, \{ \dots \text{upbeat tone} \dots \} \rangle$$

👉 at-issue

👉 non-at-issue

Conclusion

Questions (repeated)

Affective emojis' place in CMC grammar

1. Do they have a generative syntax?
2. Do they have a model-theoretic semantics?
3. What does research on CMC grammar entail? (big picture)

Main proposal: Affective emojis are a (semi)lexical category in CMC.

Syntax

Generalized
Root Syntax
(Song 2019)



Semantics

Monadic Composition
(Asudeh & Giorgolo 2020,
Song 2021b)

Results

A formal linguistic approach to affective emojis in CMC

1. Affective emojis are a semilexical category in CMC.
2. Their syntax can be modeled by Generalized Root Syntax.
3. Their formal semantics can be modeled by the writer monad.
4. We can apply the domain-general subset of formal linguistic tools to the “broad grammar” of CMC.

CMC forces us to think outside of the conventional linguistics box!

In this talk, I used

- ✓ Merge **basic combinatorial operation**
- ✓ Categorization **recycling existing material for new purpose**
- ✓ Model-theoretic semantics **not limited to natural languages**

**Thank
you!**



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